

ARKA JAIN UNIVERSITY, JHARKHAND

Ph.D PROGRAMME REGULATION, 2018

ARKA **JAIN** University, Jharkhand – Minimum standards & Procedure for the award of M.Phil / Ph.D degree Regulations 2018 as per UGC (Standards & Procedure for the award of M.Phil / Ph.D degree) regulation 2016 as notified on date 05th May, 2016

1. Short Title , Applications and Commencement

1.1: These Regulations may be called ARKA JAIN UNIVERSITY, Jharkhand (Minimum Standards and Procedure for Award of M.Phil/Ph.D Degrees) Regulations, 2018

1.2: They shall apply to every department or constituent units of ARKA JAIN University, Jharkhand

1.3: They shall come into force from the date of notification

2. Research Committee/s for the smooth functioning of M.Phil / Ph.D program:

2.1: University Central Research Council (UCRC): There shall be a University Central Research Council (UCRC), or an equivalent body for the purpose as defined below. The Registrar of the university shall be the Convener / member secretary of this Committee. This Committee shall have the following responsibilities:

- To provide a Research Policy for the University and formulate guidelines to carry out various objectives of the Research Council
- To provide overall guidance to Ph.D/M.Phil Programmes and ordinance related to it
- To administer various grants and fellowships under schemes accepted by the University
- To generate an overall Research Profile of the University, and periodically review the output, identify gap areas and examine means of supporting research in deserving cases and emerging areas
- To grant academic approval to National and International Conferences by University Departments
- To facilitate activities related to Intellectual Property Rights
- Overall monitoring of M.Phil & Ph.D Entrance Exam & registrations

- To facilitate activities related to Intellectual Property Rights
- To constitute and appoint members in the Department Research Committee
- To approve the list of panel members for final viva exams on the recommendation of Departmental Research Council

2.2: There will be at least five members in the University Central Research Council (UCRC)

- Vice Chancellor will be the chairman of UCRC
- Vice Chancellor will nominate & appoint other 3 or more member
- Registrar will be member secretary of the UCRC
- Decision of UCRC will be approved by Academic Council of University
- Tenure of members will be for 1 Year
- Quorum of the meeting will be at least 3 member including Chairman

2.3: Department Research Council: This Committee shall have the following responsibilities (DRC):

- To review the research proposal and finalize the topic of research;
- To allocate a research Supervisor to Research scholar
- Conduct course work classes & exams
- To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do
- To periodically review and assist in the progress of the research work of the research scholar

2.4 There will be at least three members in the Department Research Council (DRC). At least 2 member will form the quorum of the meeting.

2.5 A research scholar shall appear before the DRC once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the DRC to the UCRC with a copy to the research scholar

- 2.6 In case the progress of the research scholar is unsatisfactory, the DRC shall record the reasons for the same and suggest corrective measures. If the research scholar fails to implement these corrective measures, the DRC may recommend to the UCRC with specific reasons for cancellation of the registration of the research scholar

3. Eligibility criteria for admission to the M.Phil Programme:

- 3.1 Candidates for admission to the M.Phil programme shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions
- 3.2 A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC(non-creamy layer)/Differently-Abled and other categories of candidates as per the decision of the Commission from time to time, or for those who had obtained their Master's degree prior to 19th September, 1991. The eligibility marks of 55% (or an equivalent grade in a point scale wherever grading system is followed) and the relaxation of 5% to the categories mentioned above are permissible based only on the qualifying marks without including the grace mark procedures

4. Eligibility criteria for admission to Ph.D programme:

Subject to the conditions stipulated in these Regulations, the following persons are eligible to seek admission to the Ph.D programme:

- 4.1 Master's or equivalent Degree holders with minimum 55% marks

- 4.2 Candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completing the M.Phil. Degree shall be eligible to proceed to do research work leading to the Ph.D Degree in the same Institution in an integrated programme. A relaxation of 5% of marks, from 55% to 50%, or an equivalent relaxation of grade, may be allowed for those belonging to SC/ST/OBC (non-creamy layer)/differently-abled and other categories of candidates as per the decision of the Commission from time to time.
- 4.3 A person whose M.Phil dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D programme of the same Institution;
- 4.4 Candidates possessing a Degree considered equivalent to M.Phil Degree of an Indian Institution, from a Foreign Educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D programme

5. Duration of the Programme:

- 5.1 M.Phil programme shall be for a minimum duration of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years
- 5.2 Ph.D programme shall be for a minimum duration of three years, including course work and a maximum of six years
- 5.3 Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual Institution concerned
- 5.4 The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil and two years for Ph.D in the maximum duration. In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/Ph.D for up to 240 days.

6. Procedure for admission:

- 6.1 University shall admit M.Phil / Ph.D students through an Entrance Test conducted at the University level. The University may decide separate terms and conditions for Ph.D Entrance Test for those students who qualify UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil programme. Similar approach may be adopted in respect of Entrance Test for M.Phil programme.
- 6.2 UCRC shall decide on an annual basis through academic council a predetermined and manageable number of M.Phil. and / or Ph.D scholars to be admitted depending on the number of available Research Supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar- teacher ratio (as indicated in Para 6.5), laboratory, library and such other facilities;
- 6.3 University shall notify well in advance in the university website and through advertisement in at least one (1) national newspaper, the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, procedure for admission, examination centre(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates;
- 6.4 adhere to the National/State-level reservation policy, as applicable.
- 6.5 The admission shall be based on the criteria notified by the Institution, keeping in view the guidelines/norms in this regard issued by the UGC and other statutory bodies concerned, and taking into account the reservation policy of the Central/State Government from time to time
- 6.6 University shall admit candidates by a two stage process through:
 - 6.6.1 An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at the Centre(s) notified in advance (changes of Centres, if any, also to be notified well in advance); and

6.6.2 An interview/viva-voce to be organized by the university, when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Department Research Committee.

6.6.3 The interview/viva voce shall also consider the following aspects, viz. whether:

- the candidate possess the competence for the proposed research;
- the research work can be suitably undertaken at the University, the proposed area of research can contribute to new/additional knowledge

6.6.4 Entrance examination can be conducted only twice in case allotted seats are not filled up. (After the declaration of the final results and candidates confirmation for admission, if the seats are vacant then UCRC may take a decisions to conduct another exam to fill the vacant seats of respective courses in Ph.D). In any circumstance, more than two entrance exams cannot be conducted in one academic year.

6.6.5 The University shall maintain the list of all the M.Phil. / Ph.D registered students on its website on year-wise basis. The list shall include the name of the registered candidate, topic of his/her research, name of his/her supervisor/co-supervisor, date of enrolment/registration.

6.7 Admission/Registration: A successful candidate shall be required to apply to the ARKA JAIN University, Jharkhand in the prescribed Application Form for course work Registration.

A candidate who has been offered registration shall be required to deposit the prescribed programme fee. If a student fails to submit fee due, her/his registration would be liable for cancellation.

After the successful completion of course work, the applicant shall submit five copies of his/her M. Phil/Ph. D. research proposal as per the prescribed guidelines, along with the Ph.D application registration form.

The date of the Research proposal acceptance shall be treated as the date of provisional Registration of the candidate and the candidate shall be issued provisional admission/registration letter as per University Norms and Conditions.

7. Allocation of Research Supervisor: Eligibility criteria to be followed as per UGC (Standards & Procedure for the award of M.Phil / PH.D degree) regulation 2016 as notified on date 05th May, 2016.

7.1 Highlights of the UGC Regulation 2016:

- Any regular Professor / Associate Professor / Assistant Professor can only be a research Supervisor
- Only a full time regular teacher of the University can act as a supervisor
- The allocation of Research Supervisor for a selected research scholar shall be decided by the Department concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors and research interests of the scholars as indicated by them at the time of interview/viva voce
- In case of topics which are of inter-disciplinary nature where the Department concerned feels that the expertise in the Department has to be supplemented from outside, the Department may appoint a Research Supervisor from the Department itself, who shall be known as the Research Supervisor, and a Co-Supervisor from outside the Department/ Faculty/College/Institution on such terms and conditions as may be specified by UCRC
- A Research Supervisor/Co-supervisor who is a Professor, at any given point of time, cannot guide more than three (3) M.Phil. and Eight (8) Ph.D scholars. An Associate Professor as Research Supervisor can guide up to a maximum of two (2) M.Phil. and six (6) Ph.D scholars and an Assistant Professor as Research Supervisor can guide up to a maximum of one (1) M.Phil. and four (4) Ph.D scholars

8. Course work: Credit Requirements, number, duration, syllabus, minimum standards for completion, etc.

8.1 The credit assigned to the M.Phil or Ph.D course work shall be a minimum of 08 credits and a maximum of 16 credits

8.2 Minimum duration of course work will be six month

8.2: All candidates admitted to the M.Phil and Ph.D programmes shall be required to complete the course work prescribed by the Department during the initial one or two semesters

8.3: Candidates already holding M. Phil. degree and admitted to the Ph.D programme, or those who have already completed the course work in M.Phil and have been permitted to proceed to the Ph.D in integrated course, may be exempted by the Department from the Ph.D course work. All other candidates admitted to the Ph.D programme shall be required to complete the Ph.D course work prescribed by the Department

8.4 A M.Phil / Ph.D scholar has to obtain a minimum of 55% of marks or its equivalent grade in the UGC 7-point scale (or an equivalent grade/CGPA in a point scale wherever grading system is followed) in the course work in order to be eligible to continue in the programme and submit the dissertation/thesis

9. Evaluation and Assessment Methods, minimum standards/credits for award of the degree, etc.:

9.1 The overall minimum credit requirement, including credit for the course work, for the award of M.Phil. degree shall not be less than 24 credits.

9.2 Upon satisfactory completion of course work, and obtaining the marks/grade prescribed in sub-clauses 8.4 above, as the case may be, the M.Phil. / Ph.D scholar shall be required to undertake research work and produce a draft dissertation/thesis within a reasonable time, as stipulated by the Institution concerned based on these Regulations.

9.3 Prior to the submission of the dissertation/thesis, the scholar shall make a presentation in the Department before DRC of the University which shall also be open to all faculty members and other research scholars. The

feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the DRC.

- 9.4 M.Phil scholars shall present at least one (1) research paper in a conference/seminar and Ph.D scholars must publish at least one (1) research paper in refereed journal and make two paper presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.
- 9.5 **Progress Reports:** Every candidate registered in the Ph. D. programme shall be required to submit, through his/her research guide, detailed progress report on the work done every six month. If a candidate fails to submit three progress reports consecutively, his/her registration could be cancelled on the recommendation of the supervisor, Head and the Dean of the Faculty concerned.
- 9.6 While submitting for evaluation, the dissertation/thesis, there shall have an undertaking from the research scholar and a certificate from the Research Supervisor attesting to the originality of the work, vouching that there is no plagiarism and that the work has not been submitted for the award of any other degree/diploma of the same Institution where the work was carried out, or to any other Institution.
- 9.7 The M.Phil. dissertation submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least one external examiner who is not in the employment of the Institution/College. The viva-voce examination, based among other things, on the critiques given in the evaluation report, shall be conducted by both of them together, and shall be open to be attended by Members of the Research Advisory Committee, all faculty members of the Department, other research scholars and other interested experts/ researchers.
- 9.8 The Ph.D thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in employment of the Institution/College, of whom one examiner may be from outside the country. The viva-voce examination, based among other

things, on the critiques given in the evaluation report, shall be conducted by the Research Supervisor and at least one of the two external examiners, and shall be open to be attended by Members of the DRC, all faculty members of the Department, other research scholars and other interested experts/researchers.

9.9 The viva-voce of the research scholar to defend the dissertation/thesis shall be conducted only if the evaluation report(s) of the external examiner(s) on the dissertation/thesis is/are satisfactory and include a specific recommendation for conducting the viva-voce examination. If the evaluation report of the external examiner in case of M.Phil. Dissertation, or one of the evaluation reports of the external examiner in case of Ph.D thesis, is unsatisfactory and does not recommend viva-voce, the Institution shall send the dissertation/ thesis to another external examiner out of the approved panel of examiners and the viva-voce examination shall be held only if the report of the latest examiner is satisfactory. If the report of the latest examiner is also unsatisfactory, the dissertation/ thesis shall be rejected and the research scholar shall be declared ineligible for the award of the degree.

9.10 The University shall ensure to complete the entire process of evaluation of M. Phil. dissertation/ Ph.D thesis within a period of six months from the date of submission of the dissertation/thesis.

10. Ph.D program will be offered only in full time and part time mode. University will not offer Ph.D Programmes through distance education mode. Part-time Ph.D will be allowed provided all the conditions mentioned in the extent Ph.D Regulations are met.

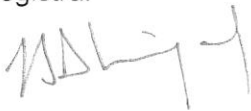
11. Depository with INFLIBNET:

11.1 Following the successful completion of the evaluation process and before the announcement of the award of the M.Phil. / Ph.D degree(s), the university shall submit an electronic copy of the M.Phil. dissertation / Ph. D. thesis to the

INFLIBNET, for hosting the same so as to make it accessible to all Institutions / Colleges.

11.2 Prior to the actual award of the degree, the University shall issue a provisional Certificate to the effect that the Degree has been awarded in accordance with the provisions of these UGC Regulations, 2016.

Registrar



ARKA JAIN University, Jharkhand

ARKA JAIN University, Jharkhand

Campus: Mohanpur, Gamhariya,
Distt: Seraikela – Kharsawan, Jharkhand

Admission Office: D-28, Danish Arcade, Opp Asian Inn Hotel, Dhatkidih, Jamshedpur
Jharkhand-831001

For any queries, please visit:

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Email: registrar@arkajainuniversity.ac.in

PH.D Programme Regulation, 2018 was approved by all members by University Central Research Council (UCRC) .